
















Relics of Supermassive Black Hole Seeds: The Discovery of an Accreting Black Hole in an Optically Normal, Low Metallicity Dwarf Galaxy

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Abstract

The detection and characterization of supermassive black holes (SMBHs) in local low mass galaxies is crucial to our understanding of the origins of SMBHs. This statement assumes that low mass galaxies have had a relatively quiet cosmic history, so that their black holes have not undergone significant growth and therefore can be treated as relics of the original SMBH seeds. While recent studies have found optical signatures of active galactic nuclei (AGNs) in a growing population of dwarf galaxies, these studies are biased against low metallicity and relatively merger-free galaxies, thus missing precisely the demographic in which to search for the relics of SMBH seeds. Here, we report the detection of the [Si VI]1.963 μm coronal line (CL), a robust indicator of an AGN in the galaxy SDSS J160135.95+311353.7, a nearby ($z = 0.031$) low metallicity galaxy with a stellar mass approximately an order of magnitude lower than the LMC ($M_* \approx 10^{8.56} M_\odot$) and no optical evidence for an AGN. The AGN bolometric luminosity implied by the CL detection is $\approx 10^{42} \text{ erg s}^{-1}$, precisely what is predicted from its near-infrared continuum emission based on well-studied AGNs. Our results are consistent with a black hole of mass $\approx 10^5 M_\odot$, in line with expectations based on its stellar mass. This is the first time a near-infrared CL has been detected in a low mass, low metallicity galaxy with no optical evidence for AGN activity, providing confirmation of the utility of infrared CLs in finding AGNs in low mass galaxies when optical diagnostics fail. These observations highlight a powerful avenue of investigation to hunt for low mass black holes in the James Webb Space Telescope era.

Unified Astronomy Thesaurus concepts: Dwarf galaxies (416); Active galactic nuclei (16)

1. Introduction

Understanding the occupation fraction and properties of supermassive black holes (SMBHs) in low mass galaxies places important constraints on seed SMBHs, formed at high redshift and observationally inaccessible (see review by Greene et al. 2020, and references therein). For this reason, the search for SMBHs in dwarf galaxies has been an active area of research over the past decade. Uncovering the lowest masses dynamically is extremely challenging due to their small spheres of influence, although recent efforts have made notable progress (e.g., Nguyen et al. 2019). Therefore, most efforts have focused on finding accreting SMBHs (active galactic nuclei; AGNs) in the lowest mass galaxies. While impressive progress has been made in uncovering AGNs in dwarf galaxies, which have been found using a variety of multiwavelength observations (see review by Greene et al. 2020, and references therein), they still constitute a very small fraction of the dwarf galaxy population. For example, only 0.1% of dwarf galaxies with masses $< 10^{9.5} M_\odot$, approximately the mass of the Large Magellanic Cloud (LMC), have unambiguous signatures of AGNs (Reines et al. 2013) through the commonly used optical

spectroscopic Baldwin–Phillips–Terlevich (BPT) diagram (Baldwin et al. 1981; Kewley et al. 2001; Kauffmann et al. 2003). While this could be cited as potential evidence for a dearth of intermediate mass black holes (IMBHs) in the local universe, recent theoretical work has shown that standard optical BPT diagrams are ineffective for low mass AGNs, since the hardening of the spectral energy distribution changes the ionization structure of the nebula (Cann et al. 2019). Furthermore, the majority of AGNs in dwarf galaxies discovered so far have been found in higher metallicity galaxies, since low metallicity galaxies of all masses tend to occupy the star-forming region of the BPT diagram (Groves et al. 2006; Cann et al. 2019). Indeed, a detailed study of the [N II]/H α values, a strong indicator of the metallicity of a galaxy, indicates that nearly all AGNs have super-solar metallicities, with only 0.2% of a sample of Seyfert 2s from SDSS identified as low metallicity (Groves et al. 2006). In addition, since AGN identification using optical narrow line diagnostics requires that the AGN dominate over star formation, this selection mechanism favors AGNs in galaxies with less active star formation, which are often more bulge-dominated. However, recent HST studies have shown a wide range of morphologies in BPT-selected AGNs in dwarf galaxies (Kimbrell et al. 2021).

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This is a limitation, as the premise behind using dwarf galaxies to search for local analogs of SMBH seeds rests on the assumption that the galaxy has had a relatively quiescent evolution to ensure that their black holes can be considered relics of the original seeds. A dwarf galaxy with a high Sérsic index suggests external interactions, such as merging or tidal stirring, or significant secular evolution, that could drive gas toward the center of the galaxy, fueling star formation, thereby enriching the gas phase metallicity, and potentially growing the black hole. Therefore, many of the currently widely used methods employed in the hunt for AGNs in dwarf galaxies are biased toward a host galaxy demographic that is not ideal in order to gain insight into the initial SMBH seed population.

The use of infrared coronal lines (CLs), with ionization potentials >70 eV, has been proposed as an alternative diagnostic (Cann et al. 2018, 2020; Satyapal et al. 2021) to find SMBHs hidden by other diagnostics, as these lines are not susceptible to dilution from star formation, a common problem for the BPT diagnostics, which rely on emission lines corresponding to ionization potentials less than 35 eV. As stars do not produce enough high energy radiation to excite these ions, the detection of a coronal line can be unambiguous proof of the presence of an AGN. Further, infrared CLs, such as [Ne V], [Si VI], and [Si X], are less susceptible to dust extinction. They have been detected in a large sample of AGNs in high mass galaxies (e.g., Riffel et al. 2006; Veilleux et al. 2009; Rodríguez-Ardila et al. 2011; Lamperti et al. 2017; Müller-Sánchez et al. 2018), and have revealed AGNs in bulgeless galaxies with no optical signatures of an AGN (Satyapal et al. 2007, 2008, 2009) and low metallicity galaxies (Cann et al. 2020). With the advent of the James Webb Space Telescope (JWST), high sensitivity infrared spectra of large samples of dwarf galaxies, extending to the lowest masses, will be possible, enabling the discovery of the most extreme populations well into the IMBH (10^7 – $10^4 M_\odot$). In this Letter, we present the first near-infrared coronal line evidence of an AGN in a low metallicity dwarf galaxy with no optical evidence for an AGN.

2. Observations and Data

2.1. Target Selection

J160135.95+311353.7 (hereafter J1601+3113) is a nearby ($z = 0.031$) galaxy, with no broad lines, and optically normal line ratios in the star-forming region of the BPT diagram (Figure 1). Based on the Max Planck Institute für Astrophysik/Johns Hopkins University (MPA/JHU) catalog¹¹ of derived properties for the Sloan Digital Sky Survey (SDSS) data release 8, it has a galaxy mass of $10^{8.56} M_\odot$, roughly an order of magnitude lower mass than the LMC. The NASA-Sloan Atlas catalog lists a Sérsic index of 1.87, implying a disk-like morphology, as expected based on its low metallicity (Izotov et al. 2012). While a detailed analysis of J1601+3113’s metallicity is given in Section 3.5, its $\log([\text{N II}]/\text{H}\alpha)$ of -1.17 suggests a sub-solar metallicity (Groves et al. 2006).

While there is no evidence of an AGN in J1601+3113 based on its optical spectrum, its mid-infrared colors are suggestive of an AGN (Hainline et al. 2016), using data from the Wide-field Infrared Survey Explorer and the three-band color cut from Jarrett et al. (2011; Figure 2). While star formation in low

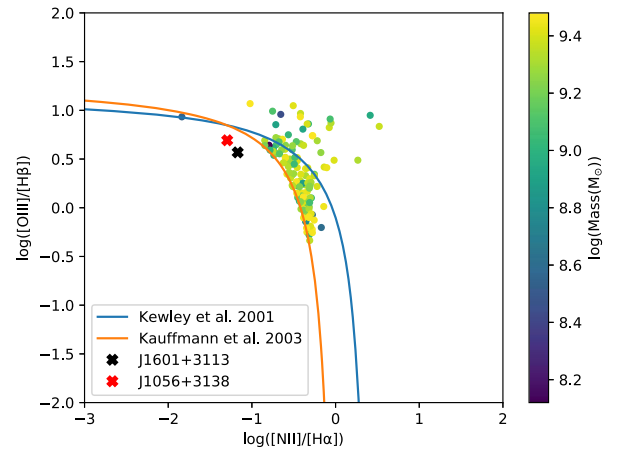


Figure 1. Optical emission line ratios for J1601+3113 (black “x”) place it securely in the star-forming region of the BPT diagram. Also pictured for comparison are data from the Reines et al. (2013) sample, with galaxy stellar mass in the color bar.

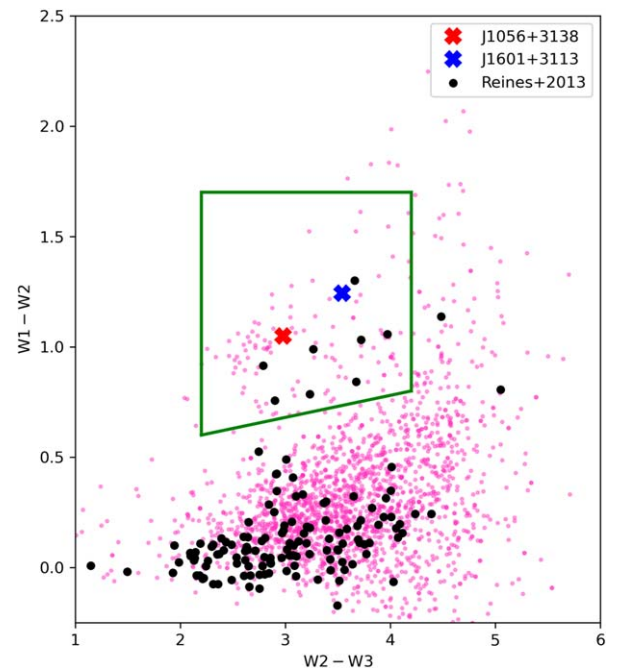


Figure 2. Mid-infrared color-color diagram showing the placement of low metallicity galaxies (pink points) with comparable metallicity to J1601+3113 (blue “x”) and J1056+3113 (red “x”; Cann et al. 2020), as defined by $\log([\text{N II}]/\text{H}\alpha) < -1$, using emission line fluxes from the MPA/JHU catalog. The green box outlines the Jarrett et al. (2011) region occupied by dominant AGNs. Targets from the Reines et al. (2013) sample are in black, showing that our selection criteria probes a different subset of dwarf galaxies.

metallicity galaxies can heat the dust to high temperatures (Griffith et al. 2011), Satyapal et al. (2018) show that it is very unlikely that a purely star-forming low metallicity galaxy can meet the three-band color cut, strongly suggesting the presence of an AGN in J1601+3113. There are ≈ 1500 low metallicity galaxies that similarly show mid-infrared colors indicative of a dominant AGN, with masses up to three orders of magnitude less than the LMC, that are ideal candidates for follow-up observations. Approximately one-half of these are less massive than the lowest mass target in the Reines et al. (2013) sample, so observations of this population could probe a new frontier of black hole mass. Note that mid-infrared color selection is

¹¹ <http://www.mpa-garching.mpg.de/SDSS/>

biased toward the most dominant AGNs (Satyapal et al. 2021), which comprise only a small fraction of the total AGN population (see Yan et al. 2013), so the discovery of an AGN in J1601+3113 may be the tip of the iceberg.

2.2. Observations and Data Reduction

Near-IR observations of J1601+3113 were obtained using the Gemini Near-InfraRed Spectrometer (GNIRS) using the cross dispersed mode with the 321 mm^{-1} grating and nodding along a $0''.45 \times 7''$ slit. The total integration time was 3600 s and obtained in clear conditions with $0''.5$ seeing. An A1V telluric star was observed at similar airmass.

The data reduction was carried out using the Gemini-provided IRAF package (Cooke & Rodgers 2005) for the flatfielding, sky subtraction, wavelength calibration, and spectral extraction. The IDL code, XTELLCOR_GENERAL (Vacca et al. 2003), was used for the telluric line removal and flux calibration. Line fluxes and uncertainties were determined from best-fit single Gaussian models to the emission lines, using a custom Python Bayesian maximum-likelihood code implemented in Python using the affine-invariant Markov Chain Monte Carlo (MCMC) package emcee (Foreman-Mackey et al. 2013).

This object was also observed by SDSS on 2003 May 12 with the SDSS spectrograph. The optical data were reanalyzed to ensure accurate measurements of the BPT emission lines for BPT classification and to calculate upper limits of optical CLs, as well as to judge the presence of any broadened or outflow components to the emission lines. Spectral fitting was performed using version 7.1.1 of the open-source Python 3 code Bayesian AGN Decomposition Analysis for SDSS Spectra (BADASS; Sexton et al. 2021). In brief, BADASS implements emcee (Foreman-Mackey et al. 2013) to obtain robust parameter fits and uncertainties, and utilizes a custom autocorrelation analysis to assess parameter convergence. The spectrum was run for a maximum of 25,000 MCMC iterations, with the mean of parameters converging around 20,000 iterations. The measured fluxes from BADASS for the emission lines reported in the MPA catalog are within good agreement.

3. Results and Discussions

3.1. Near-infrared Spectroscopy

The near-infrared K -band spectra are shown in Figure 3, and a list of observed fluxes and coronal line upper limits is given in Table 1. Most notably, we report a 3.1σ [Si VI] coronal line with a flux of $4.0 \pm 1.1 \times 10^{-17} \text{ erg cm}^{-2} \text{ s}^{-1}$. The presence of this line, with an ionization potential of 167 eV is strong evidence for the presence of an AGN, as stellar sources do not produce enough high energy radiation to excite these ions (Satyapal et al. 2021). Further, the luminosity of this line is $9 \times 10^{37} \text{ erg s}^{-1}$, comparable to the [Si VI] luminosities observed in near-infrared surveys of well-studied AGNs, ranging from $\approx 10^{36}$ to $10^{41} \text{ erg s}^{-1}$ (e.g., Lamperti et al. 2017; Müller-Sánchez et al. 2018). To further confirm the robustness of the detection, we looked at the relationship between [Si VI] detections and W2 fluxes in the literature (Riffel et al. 2006; Rodríguez-Ardila et al. 2011; Lamperti et al.

2017; Müller-Sánchez et al. 2018), finding a correlation:

$$\log F_{[\text{Si VI}]} = (0.77 \pm 0.11) \times \log(F_{\text{W2}}) - (6.31 \pm 0.55). \quad (1)$$

Figure 3 shows the relationship between the W2 flux and the [Si VI] flux with J1601+3113 and J1056+3138 (Cann et al. 2020) overlaid. As can be seen, J1601+3113 lies within the scatter of this relation, strongly suggesting that the coronal line flux is produced by an AGN. This is the first time a coronal line has been detected in a low metallicity dwarf galaxy with no evidence for an AGN in its optical spectrum. The widths of all NIR lines were fairly consistent with the optical lines ($\approx 250 \text{ km s}^{-1}$), with some variation consistent with what has been observed in the literature, suggestive of differing gas dynamics between the various ISM phases (Rodríguez-Ardila et al. 2005).

3.2. Optical Spectroscopy

The measured lines and upper limits from BADASS can be found in Table 2. There are no broad lines or outflow components detected, and there are no detections of optical CLs. Given the [Si VI] flux, the nondetections are not unexpected given the typical ratios between [Si VI] and optical CLs typical of AGNs (e.g., Oliva et al. 1994). For a more complete overview of the coronal line nondetections, see Section 3.6.

This, combined with its BPT star-forming designation, makes the AGN candidate in J1601+3113 invisible through traditional optical diagnostics.

3.3. Extinction

Dust extinction in J1601+3113's spectra was determined using the relative strengths of five observed hydrogen recombination lines from the GNIRS spectrum. Their fluxes and uncertainties can be found in Table 1. A Milky Way-like extinction curve ($R_V = 3.1$), implies minimal extinction ($A_V \approx -0.1$). The SDSS spectra shows an $H\beta/H\alpha$ ratio of 0.32 ± 0.003 , implying negligible extinction. The $\text{Pa}\alpha/H\alpha$ ratio of 0.09 ± 0.001 and an observed $\text{Pa}\alpha/H\beta$ ratio of 0.3 ± 0.004 (Hummer & Storey 1987), further confirm negligible obscuration.

This implies that the lack of broad lines is likely due to AGN orientation, as opposed to host galaxy obscuration. This result is comparable to Lamperti et al. (2017), in which only $\approx 10\%$ of Seyfert 2s show near-infrared broad line emission.

3.4. AGN Bolometric Luminosity and Mass Estimates

Based on the BPT diagram the [O III] flux, often used to estimate AGN bolometric luminosity, was assumed to be of stellar origin. The [Si VI] flux was therefore used to estimate the bolometric luminosity by using a relationship with $L_{X,14-195 \text{ keV}}$ and in turn, $L_{X,14-195 \text{ keV}}$ versus L_{bol} (Winter et al. 2012; Lamperti et al. 2017). This yields an $L_{X,14-195 \text{ keV}} \approx 10^{41} \text{ erg s}^{-1}$ and an $L_{\text{bol}} \approx 10^{42} \text{ erg s}^{-1}$ with a scatter of 0.75 dex. Note that the [O III] emission predicted for an AGN of this bolometric luminosity is significantly lower than what is observed (Lamastra et al. 2009), consistent with significant contamination from star formation to the [O III] emission as expected based on its location on the BPT diagram. The precise contribution, however, is difficult to determine given that the published relations between the [O III] and

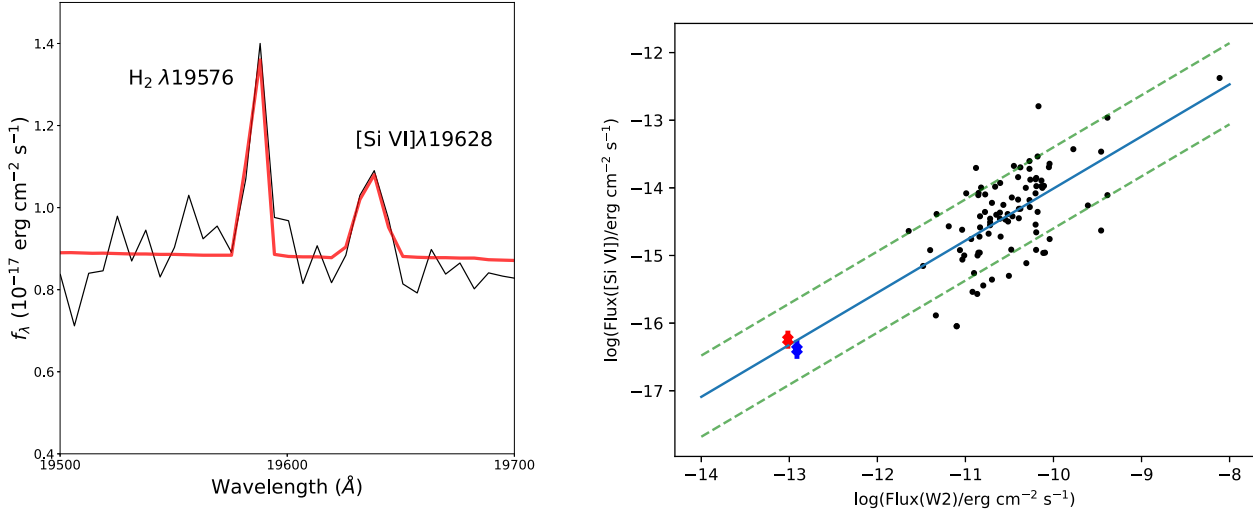
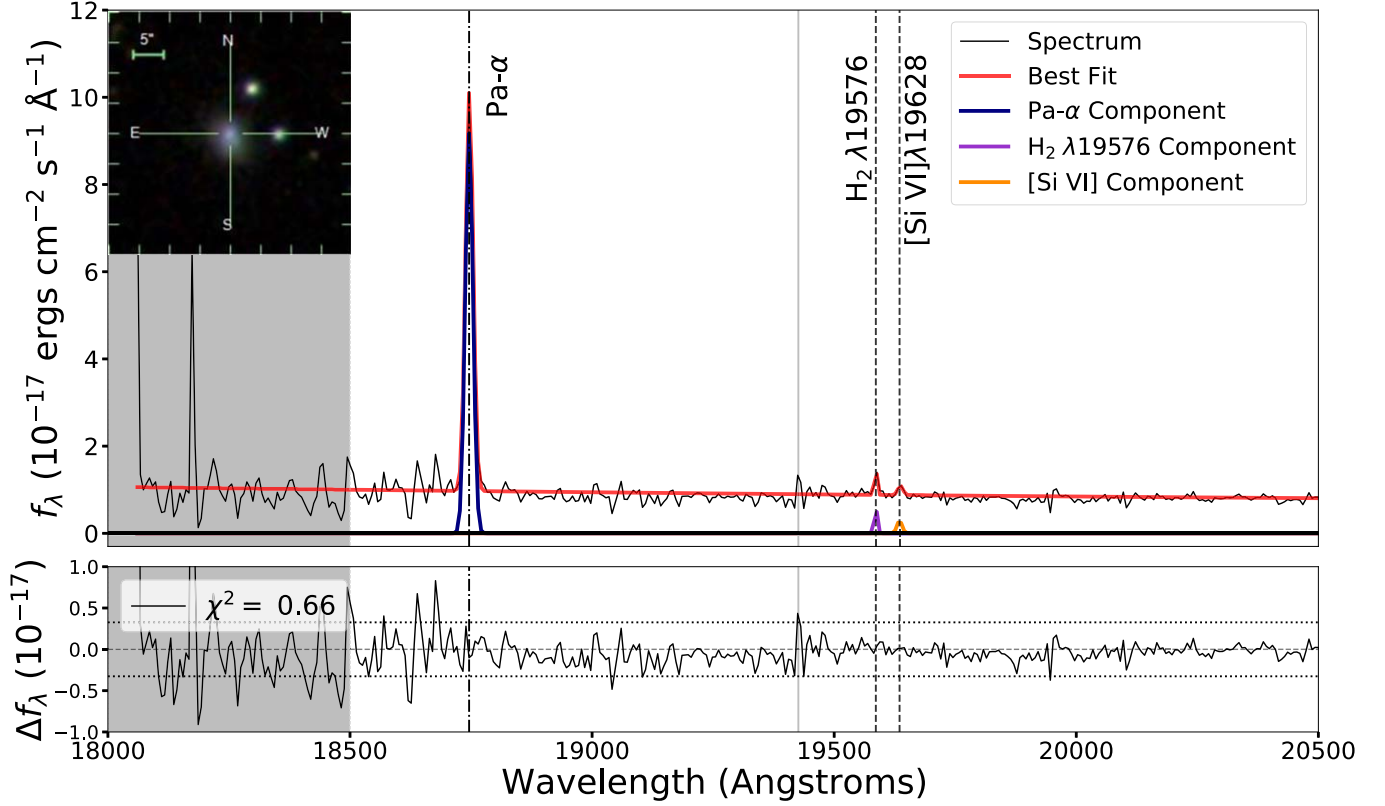


Figure 3. Top: the K -band spectra from Gemini GNIRS. Note the presence of a [Si VI] coronal line, as well as a $\text{Pa}\alpha$ and a H_2 line. This is the first time a coronal line has been detected in a low metallicity dwarf galaxy with no evidence of an AGN in its optical spectrum. The gray shaded region corresponds to heavy telluric absorption, and the gray line denotes a poorly subtracted skyline. Bottom left: GNIRS spectrum zoomed in around the [Si VI] line. Bottom right: the relation between W2 flux and [Si VI] flux, determined using the Riffel et al. (2006), Rodríguez-Ardila et al. (2011), Lamperti et al. (2017), and Müller-Sánchez et al. (2018) sample (black). J1601+3113’s (red “x”) fluxes are within the 1σ scatter of the relation, as shown by the dotted green lines.

bolometric AGN luminosities are based on higher metallicity AGNs. A virial black hole mass cannot be determined owing to the lack of broad optical and near-IR emission lines. Assuming the $M_{\text{BH}}-M_*$ relation of Bohn et al. (2020) this yields $M_{\text{BH}} \approx 10^5 M_\odot$. This implies a black hole accreting at $\approx 10\%$ of the Eddington luminosity, though the relations above have been tested primarily for masses above $10^6 M_\odot$.

3.5. Gas Metallicity Estimates

As J1601+3113 is an optically normal, seemingly star-forming galaxy, that only shows its AGN nature in near- and mid-infrared diagnostics, the precise radiation field, and therefore, metallicity estimate holds a great deal of uncertainty, with estimates ranging from $\approx 5\%$ to 15% depending on the method used. For the purposes of this study, an initial metallicity estimate was calculated using the same process as

Table 1
Near-infrared GNIRS Emission Line Fluxes

Line	Wavelength (μm)	Flux ($10^{-17} \text{ erg cm}^{-2} \text{ s}^{-1}$)
[S III]	0.9068	117.0 ± 19.4
[S III]	0.9529	287.3 ± 6.5
[S VIII]	0.9910	<40.4
Pa δ	1.0047	18.7 ± 6.4
[Fe XIII]	1.0747	<15.3
He I	1.0830	125.1 ± 5.2
Pa γ	1.0938	40.3 ± 8.5
[S IX]	1.2520	<33.6
Pa β	1.2816	72.8 ± 2.9
[Si X]	1.4300	<19.3
[Fe II]	1.6556	7.2 ± 2.2
[Mg II]	1.6802	5.9 ± 2.3
Pa α	1.8756	182.4 ± 2.2
H $_2$	1.9587	4.6 ± 1.3
[Si XI]	1.9320	<5.7
[Si VI]	1.9628	4.0 ± 1.1
[Al IX]	2.0450	<5.3
He I	2.0576	10.1 ± 0.8
Br γ	2.1650	15.0 ± 1.8
[Ca VIII]	2.3210	<8.1

Table 2
Optical SDSS Emission Line Fluxes

Line	Wavelength (\AA)	Flux ($10^{-17} \text{ erg cm}^{-2} \text{ s}^{-1}$)
[O II]	3726	527.0 ± 11.4
H β	4861	619.7 ± 6.5
[O III]	4959	760.5 ± 2.1
[O III]	5007	2293.4 ± 6.4
[Fe XIV]	5303	<13.3
[Fe VII]	5722	<10.9
[Fe VII]	6085	<8.7
[Fe X]	6374	<12.5
[N II]	6549	45.3 ± 0.8
H α	6563	1964.0 ± 14.2
[N II]	6585	132.9 ± 2.5
[S II]	6718	153.2 ± 3.5
[S II]	6732	110.9 ± 2.6

employed by Izotov et al. (2007), Izotov & Thuan (2008), and Cann et al. (2020), using measurements of [O III]5007, [O III] 4959, [O II]3726 and H β . Considering a solar metallicity value of $12 + \log(\text{O}/\text{H})$ of 8.69 (Asplund et al. 2006; Groves et al. 2006), we find a metallicity of $\approx 10\%$ solar for J1601+3113. This is in agreement with our initial estimate based on its [N II]/H α value, and is within the 1σ scatter of the mass-metallicity relation (Yates et al. 2020).

3.6. Coronal Line Ratios

The only coronal line detected in the optical and near-infrared spectra was the [Si VI] line. This is not unusual, since the lack of other coronal line detections is expected given the sensitivity of the SDSS and GNIRS spectrum. Indeed, in the hard X-ray selected AGN sample from Lamperti et al. (2017), [Si VI] is the most commonly detected near-infrared coronal line, detected over twice as often as the next most commonly detected line, [S IX]. Furthermore, when detected, the fluxes of

other near-infrared CLs range up to an order of magnitude or more dimmer, well below the detection limits of GNIRS.

Coronal line ratios, as discussed by Cann et al. (2018), may potentially provide insight into the black hole mass of an AGN. This is because the lower mass black holes have hotter accretion disks, which in turn enhances the higher ionization line luminosities relative to the lower ionization lines. We can investigate whether the optical and near-infrared spectra presented here can provide any information on the black hole mass in J1601+3113. For example, the upper limit to the [Fe VII] 5722 \AA /[Si VI] line flux ratio in J1601+3113 is 14.5. For comparison, this ratio ranges from 0.1 to 2.9 in the subset of the hard X-ray selected AGN sample from Lamperti et al. (2017) that has both near-infrared and previously published optical CLs. While this hard-X-ray selected sample has black hole masses that range from $10^{5.5}$ to $10^8 M_\odot$, theoretical modeling with lower mass black holes suggests a decrease in the [Fe VII] 5722 \AA /[Si VI] line flux ratio with black hole mass (J. M. Cann et al. 2021, in preparation). The observed upper limit therefore is not sensitive enough to provide constraints on black hole mass from the [Fe VII] 5720 \AA /[Si VI] line flux ratio.

Similarly, the [Si X] line was not detected in our GNIRS spectrum. We obtain an upper limit on the [Si X]/[Si VI] ratio of ≈ 4.7 . Based on the compilation of near-infrared coronal line fluxes from the literature (Riffel et al. 2006; Rodríguez-Ardila et al. 2011; Lamperti et al. 2017; Müller-Sánchez et al. 2018), this ratio ranges from ≈ 0.3 to 4.8 for black hole masses that range from $10^{6.6}$ to $10^{8.2} M_\odot$. The upper limit on this line ratio for J1601+3113 is at the high end of this distribution, which again does not provide insight into the black hole mass. The black hole mass derived in Section 3.4 is consistent with this line ratio based on the models in Cann et al. (2018). Likewise, the upper limit on the [Si XI]/[Si VI] ratio is ≈ 1.4 . Based on the compilation of near-infrared coronal line fluxes from the literature (Lamperti et al. 2017), this ratio ranges from ≈ 0.1 to 0.7 for black hole masses of $\approx 10^7 M_\odot$. While this upper limit is somewhat higher than those observed, the observed [Si XI]/[Si VI] is not as dramatically enhanced as predicted in the theoretical models presented in Cann et al. (2018) for black holes substantially lower than $10^5 M_\odot$, suggesting that the optical and near-infrared spectrum of J1601+3113 is completely consistent with an AGN powered by a black hole with mass $\approx 10^5 M_\odot$, in line with expectations from its stellar mass.

3.7. Alternative Scenarios

While we have shown robust evidence for the presence of an accreting black hole in J1601+3113, here we outline in more detail alternative scenarios that we have ruled out.

Optical CLs, such as [Ne V], have been seen in extragalactic supernovae, and the [Si VI] coronal line has in fact been observed in non-AGN sources such as galactic supernovae and planetary nebulae; however, the measured luminosities are low, ranging from $\approx 10^{32}$ to 10^{33} (e.g., Benjamin & Dinerstein 1990), at least five orders of magnitude below that observed in J1601+3113, and observationally undetectable outside our galaxy. Supernovae are typically accompanied by P Cygni line profiles and broad lines, while J1601+3113 does not show any sign of these features. Further, enhanced [Fe II] when compared to hydrogen recombination lines such as Pa β is typically characteristic of shocks (Rodríguez-Ardila et al. 2005); however, the ratio between [Fe II]/Pa β is ≈ 0.09 as seen in Table 1, which implies little contribution of the emission from

shocks. Using the $[\text{Fe II}]/\text{Pa}\beta$ versus $\text{H}_2/\text{Br}\gamma$ relation found in Larkin et al. (1998), Rodríguez-Ardila et al. (2005), and Riffel et al. (2013), J1601+3113 displays near-infrared line ratios indicative of a starburst galaxy, also indicating a lack of significant contribution to the emission by shocks.

It has been theorized that non-AGN sources, such as Wolf-Rayet stars or shocks from starburst-driven winds (Schaerer & Stasińska 1999; Abel & Satyapal 2008; Allen et al. 2008), can also be sources of CLs. The observed line luminosities, however, are up to 4 orders of magnitude weaker compared to optical $[\text{Ne V}]$ detections in AGNs (Izotov et al. 2012). Mid-infrared $[\text{Ne V}]$ can be up to ≈ 8 orders of magnitude dimmer in purely star-forming galaxies than in AGNs when compared to other common mid-infrared emission lines (Abel & Satyapal 2008). Moreover, $[\text{Ne V}]$ has a significantly lower ionization potential (99 eV) than $[\text{Si VI}]$ (167 eV), further strengthening support for the presence of an AGN in J1601+3113. Further, the CLs are typically accompanied by other signatures in the spectrum that point to the Wolf-Rayet stars, such as broadened $[\text{He II}]\lambda 4686 \text{ \AA}$ (Izotov et al. 2007), which are not present in the optical spectrum of J1601+3113. Also, there is a lack of the CN molecular absorption feature at $1.1 \mu\text{m}$, shown to serve as an indicator of younger to intermediate-aged stars. As the CN absorption feature is prominent in both Red Super Giants (0.01–0.03 Myr) and Asymptotic Giant Branch stars (0.3–1 Gyr), its absence implies a lack of evidence for recent star-forming activity that could produce shocks (Lançon & Wood 2000; Lançon et al. 2001; Maraston 2005; Riffel et al. 2007). Furthermore, the equivalent width of the Bracket gamma line is ≈ 18 , which corresponds to a stellar population older than 5 Myr (Leitherer et al. 1999). Finally, shocks are typically characterized by an enhancement of $[\text{Fe II}]$ emission in the near-infrared, and $[\text{O I}]$ and $[\text{S II}]$ in the optical, neither of which are seen in the spectra of J1601+3113.

In low metallicity galaxies, the stellar radiation field hardens with decreasing metallicity (Campbell et al. 1986), potentially producing higher ionization lines than are typically found in pure star-forming galaxies. This effect has been observed in several blue compact dwarf galaxies (BCDs), where CLs, such as optical $[\text{Ne V}]$, have been observed (Izotov et al. 2012). However, the CLs seen in these galaxies are much less luminous, and are of a lower ionization potential than the $[\text{Si VI}]$ line (99 eV versus 167 eV). Moreover, these galaxies are characterized by a different region of MIR color-color space ($W1 - W2 = 2.13\text{--}2.37$, $W2 - W3 = 3.58\text{--}4.76$; Izotov et al. 2011) compared to that of J1601+3113 seen in Figure 2, believed to be due to a very recent starburst (Izotov et al. 2011).

3.8. Implications and Future Prospects

The detection of an accreting SMBH in J1601+3113 has broad astrophysical implications for our understanding of the origins of SMBHs. J1601+3113 is in one of the lowest metallicity galaxies known to host an AGN, and it is the first low metallicity dwarf galaxy to show a high ionization coronal line, despite showing no evidence for an AGN based on optical diagnostics. This result suggests that the dearth of SMBHs in dwarf galaxies currently known may be more due to limitations in the currently used tools to find them rather than to an inherent lack of these objects in the universe.

Low metallicity AGN ($\log([\text{N II}]/\text{H}\alpha) < -1.0$) are quite rare in current surveys. Groves et al. (2006) found only 40 low

metallicity AGN candidates out of $\approx 23,000$ Seyfert 2's from SDSS. Izotov et al. (2007, 2010) and Izotov & Thuan (2008) found broad line emission consistent with an AGN in several low metallicity galaxies, most of which were characterized as star-forming galaxies based on optical narrow line diagnostics. In a recent X-ray survey of low mass AGNs, only one was shown to be metal deficient (Schramm et al. 2013). Cann et al. (2020) performed a multiwavelength study on J1056+3138, a low metallicity AGN, that also shows the $[\text{Si VI}]$ coronal line, as well as optical CLs, near-infrared and optical broad lines, and an X-ray point source. In a recent X-ray survey of dwarf galaxies, 61 showed X-ray point sources suggestive of low luminosity AGNs, 11 of which had $[\text{N II}]/\text{H}\alpha$ ratios indicative of low metallicities (Birchall et al. 2020), adding complementary support to a scenario in which AGNs do reside in low metallicity dwarf galaxies.

An order of magnitude lower mass than the LMC, J1601+3113 is remarkably low mass, as well as low metallicity. In recent, large samples of optically selected AGNs in dwarf galaxies, only 2% (Reines et al. 2013) and 1% (Chilingarian et al. 2018) have masses equal to or lower than that of J1601+3113, making this target a particularly unique object.

J1601+3113 is not identified as an AGN through optical broad line or narrow line diagnostics, such as the BPT diagram. This is not unexpected given that many low metallicity galaxies have been shown to display optical line ratios indicative of star-forming galaxies (Cann et al. 2019). We note that optical variability has recently been used to search for AGNs in low mass galaxies (Baldassare et al. 2018, 2020; Martínez-Palomera et al. 2020). Long-lived stellar transients have been found to mimic AGNs in low metallicity dwarfs (Burke et al. 2020) producing broad lines that can persist over a decade, calling into question the diagnostic potential of optical variability in metal deficient dwarfs; though, other signposts of stellar origin, such as P Cygni profiles, may show up in spectroscopic follow-up. Mid-infrared variability may hold some promise in this population (Secrest & Satyapal 2020).

Apart from the optical narrow line and broad line diagnostics, X-ray and radio techniques are also used to identify AGNs. Radio observations in low metallicity star-forming dwarf galaxies, however, can be dominated by star formation, hiding the AGN (Condon & Broderick 1991). Further, 80%–85% of AGNs of all masses do not show significant radio emission (Kellermann et al. 1989), so the lack of radio emission does not necessarily imply a lack of AGN.

AGN candidates are also often identified through X-ray diagnostics. The predicted 2–10 keV X-ray luminosity of J1601+3113 is $\approx 10^{41} \text{ erg s}^{-1}$ based on its $[\text{Si VI}]$ coronal line luminosity (Lamperti et al. 2017); however, there is ≈ 0.5 dex scatter in that relation. This luminosity is below the threshold of $10^{42} \text{ erg s}^{-1}$ conventionally used to identify AGNs, showing that J1601+3113 would not be robustly identified through X-ray surveys. Furthermore, at the distance of J1601+3113, the predicted X-ray flux is below the sensitivity limit of Swift/BAT and possibly even eRosita. This problem is exacerbated in low metallicity galaxies, where X-ray deficits have been observed (Simmonds et al. 2016; Burke et al. 2020; Cann et al. 2020), where the X-ray luminosities may be up to 2 orders of magnitude less than that predicted for higher metallicity and more luminous AGNs, resulting in ambiguity with X-ray emission from stellar sources.

The results presented here prove that low mass AGNs can exist in galaxies that show no evidence for AGNs using traditional diagnostics, implying that the occupation fraction of AGNs in the low mass regime may be higher than inferred from current studies and highlighting a more promising method for uncovering them. With the launch of JWST, infrared spectra with unprecedented sensitivity will be enabled, potentially uncovering a new population of low mass AGNs in low mass, low metallicity galaxies.














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Facilities: Gemini, SDSS.

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